## Low-complexity serially-concatenated coding for the deep space optical channel

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## I. Introduction

NASA is developing optical links to support deep space communication at data rates on the order of 100 Mbit/second. Power efficient signaling is achieved by modulating the data using M-ary pulse-position-modulation (PPM). For certain lasers and detectors, the optimal PPM order is high— $M \geq 256$ .

We illustrate performance 0.5–1.0 dB from capacity via the iterative decoding of a serial concatenation of a short constraint length convolutional code and coded PPM through a bit interleaver. To realize the gains of the iterative decoding requires likelihoods to be computed and stored for each PPM symbol. High data rates, large values of M and large interleavers can make the likelihood computation and storage prohibitively expensive.

To reduce the complexity of iterative decoding, we propose to compute and store only a subset of the channel likelihoods. We show that this can be done while suffering a negligible loss in performance. The complexity of implementing the forward-backward algorithm is also reduced when partial likelihoods are retained.

## II. PARTIAL STATISTICS

Suppose only P of each M observations, as well as their indices  $\mathcal{I}$ , are made available to the receiver. We model the constraint as shown in Figure 1, where  $\mathbf{c}, \mathbf{n}, \mathbf{y}$  are codeword, noise and received M-vectors, respectively,  $\phi$  denotes the rule for choosing the P samples,  $\phi: \mathbf{y} \to (\mathbf{y}_{\mathcal{I}}, \mathcal{I}), \mathcal{I} \subset \{1, \dots, M\}, |\mathcal{I}| = P$  and  $\mathbf{y}_{\mathcal{I}}$  is the vector of retained samples  $\mathbf{y}_i, i \in \mathcal{I}$ . The mapping  $\phi$  reduces the dimensionality of the received information, which we expect to severely degrade performance for small P. However, by allowing  $\mathcal{I}$  to be a function of the observation  $\mathbf{y}$ , we will see that the reduction may be implemented with negligible loss.

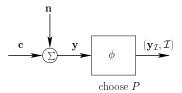


Figure 1: Constrained storage channel model

The conditional likelihoods

$$p_{\phi(\mathbf{y})|\mathbf{c}}(\phi(y)|c) = p_{\mathbf{y}_{\mathcal{T}}|\mathbf{c}}(y_{\mathcal{I}}|c)p_{\mathbf{\mathcal{I}}|\mathbf{c},\mathbf{y}_{\mathcal{T}}}(\mathcal{I}|c,y_{\mathcal{I}})$$

where we explicitly distinguish random variables  $\mathbf{y}, \mathbf{c}, \mathcal{I}$  from their realizations  $y, c, \mathcal{I}$ , are a sufficient statistic for estimation of  $\mathbf{c}$  given  $\phi(\mathbf{y})$ . The term  $p_{\mathbf{y}_{\mathcal{I}}|\mathbf{c}}$  is the likelihood that would result if the input were mapped to a P dimensional constellation and the term  $p_{\mathcal{I}|\mathbf{c},\mathbf{y}_{\mathcal{I}}}$  is an adjustment to reflect the outcome of the decision.

Figure 2 illustrates performance for a case with M=256, a 4096-bit interleaver, and 8 iterations, on an AWGN channel. We see 0.1 dB degradation when 1/64 of the likelihoods are kept, and negligible degradation when 1/32 are kept.

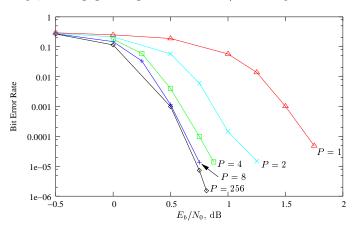


Figure 2: Performance with partial statistics, M = 256.

In addition to storing the floating point values, we must save the addresses of the P largest values. This yields

$$\frac{\text{storage for } P \text{ likelihoods}}{\text{storage for } M \text{ likelihoods}} = \frac{P}{M} \left( 1 + \frac{\log_2 M}{f} \right),$$

where f is the number of bits used to represent fixed or floating-point values.

## III. PARTIAL TRELLIS

With partial statistics, there are only P+1 distinct channel likelihoods  $p_{\phi(\mathbf{y})|\mathbf{c}}(\phi(y)|c(e))$ . One can take advantage of this and use a reduced complexity time-varying trellis. Let  $\mathcal{J}_k$  be the collection of parallel edges in the full trellis at time k with the same channel likelihood. Form a partial trellis by replacing the edges in  $\mathcal{J}_k$  with a single edge with the same initial state and terminal state. Maximum aposteriori decoding may be executed on the partial trellis. The partial trellis will have  $|\mathcal{V}|$  states and no more than  $|\mathcal{V}|(P+|\mathcal{V}|)$  edges, where  $|\mathcal{V}|$  is the number of states on the full trellis.

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